

FISHERIES FINANCE GUARANTEED LOAN FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 13-4314-0-3-376	2009 actual	2010 est.	2011 est.
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements	-1	-1	

Status of Guaranteed Loans (in millions of dollars)

Identification code 13-4314-0-3-376	2009 actual	2010 est.	2011 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders			
2150 Total guaranteed loan commitments			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	4	2	1
2251 Repayments and prepayments	-2	-1	-1
2290 Outstanding, end of year	2	1	

Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	2	1
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year	14	14
2364	Other adjustments, net		
2390	Outstanding, end of year	14	14

Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year	14	14
2364	Other adjustments, net		
2390	Outstanding, end of year	14	14

This account covers the financing of guaranteed loans obligated or committed subsequent to October 1, 1991 as authorized by the Merchant Marine Act of 1936, as amended. Funds are not used for purposes which would contribute to the overcapitalization of the fishing industry. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 13-4314-0-3-376	2008 actual	2009 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	4	2
Investments in US securities:		
1106 Receivables, net	1	1
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross	14	14
1502 Interest receivable	1	1
1505 Allowance for subsidy cost (-)	-12	-12
1599 Net present value of assets related to defaulted guaranteed loans	3	3
1999 Total assets	8	6
LIABILITIES:		
2103 Federal liabilities: Debt	7	5
2204 Non-Federal liabilities: Liabilities for loan guarantees	1	1
2999 Total liabilities	8	6
4999 Total liabilities and net position	8	6

FEDERAL SHIP FINANCING FUND FISHING VESSELS LIQUIDATING ACCOUNT
Status of Guaranteed Loans (in millions of dollars)

Identification code 13-4417-0-3-376	2009 actual	2010 est.	2011 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	6	5	5
2251 Repayments and prepayments	-1		
2290 Outstanding, end of year	5	5	5

Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	4	4
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year	14	14
2351	Repayments of loans receivable		
2390	Outstanding, end of year	14	14

The Federal Ship Financing Fund is the liquidating account necessary for the collection of premiums and fees of the loan guarantee portfolio that existed prior to 1992. Administrative expenses for management of the loan guarantee portfolio were charged to the Federal Ship Financing Fund prior to the enactment of the Federal Credit Reform Act of 1990.

Balance Sheet (in millions of dollars)

Identification code 13-4417-0-3-376	2008 actual	2009 actual
ASSETS:		
1701 Defaulted guaranteed loans, gross	14	14
1703 Allowance for estimated uncollectible loans and interest (-)	-12	-12
1704 Defaulted guaranteed loans and interest receivable, net	2	2
1799 Value of assets related to loan guarantees	2	2
1999 Total assets	2	2
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	2	2
2999 Total liabilities	2	2
4999 Total liabilities and net position	2	2

U.S. PATENT AND TRADEMARK OFFICE
Federal Funds
SALARIES AND EXPENSES

For necessary expenses of the United States Patent and Trademark Office (USPTO) provided for by law, including defense of suits instituted against the Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office, [\$1,887,000,000] \$2,321,724,000, to remain available until expended: *Provided*, That the sum herein appropriated from the general fund shall be reduced as offsetting collections assessed and collected pursuant to 15 U.S.C. 1113 and 35 U.S.C. 41 and 376 are received during fiscal year [2010] 2011, so as to result in a fiscal year [2010] 2011 appropriation from the general fund estimated at \$0: *Provided further*, That during fiscal year [2010] 2011, should the total amount of offsetting fee collections be less than [\$1,887,000,000] \$2,321,724,000, this amount shall be reduced accordingly: *Provided further*, That any amount received in excess of \$2,321,724,000 in fiscal year 2011, in an amount up to \$100,000,000, shall remain available until expended: *Provided further*, That from amounts provided herein, not to exceed \$1,000 shall be made available in fiscal year [2010] 2011 for official reception and representation expenses: *Provided further*, That in fiscal year [2010] 2011 from the amounts made available for "Salaries and Expenses" for the USPTO, the amounts necessary to pay: (1) the difference between the percentage of basic pay contributed by the USPTO and employees under section 8334(a) of title 5, United States Code, and the normal cost percentage (as defined by section 8331(17) of that title) of basic pay, of employees subject to subchapter III of chapter 83 of that title; and (2) the present value of the otherwise unfunded accruing costs, as determined by the Office of Personnel Management, of post-retirement life insurance and post-retirement health benefits coverage for all USPTO employees, shall be transferred to the Civil Service Retirement and Disability Fund, the Employees Life Insurance Fund, and the Employees Health Benefits Fund, as appropriate, and shall be available for the authorized purposes of those accounts: *Provided further*, That sections 801, 802, and 803 of division B, Public Law 108-447 shall remain in effect during fiscal year

[2010] 2011: *Provided further*, That the Director may, this year, reduce by regulation fees payable for documents in patent and trademark matters, in connection with the filing of documents filed electronically in a form prescribed by the Director: [*Provided further*, That from the amounts provided herein, no less than \$4,000,000 shall be available only for the USPTO contribution in a cooperative or joint agreement or agreements with a non-profit organization or organizations, successfully audited within the previous year, and with previous experience in such programs, to conduct policy studies, including studies relating to activities of United Nations Specialized agencies and other international organizations, as well as conferences and other development programs, in support of fair international protection of intellectual property rights] *Provided further*, That there shall be a surcharge of 15 percent, rounded by standard arithmetic rules, on fees charged or authorized by sections 41(a), (b), (d) (1) and 132(b) of title 35, United States Code, as administered under Public Law 108-447 and this Act: *Provided further*, That the surcharge established under the previous proviso shall be separate from, and in addition to, to any other surcharge that may be required pursuant to any provision of title 35, United States Code: *Provided further*, That the surcharge established in the previous two provisions shall take effect on the date that is 10 days after the date of enactment of this Act, and shall remain in effect during fiscal year 2011: *Provided further*, That the receipts collected as a result of these surcharges shall be available to the United States Patent and Trademark Office without fiscal year limitation, for all authorized activities and operations of the Office. (Department of Commerce Appropriations Act, 2010.)

Program and Financing (in millions of dollars)

Identification code 13-1006-0-1-376	2009 actual	2010 est.	2011 est.
Obligations by program activity:			
09.01 Patents	1,668	1,728	2,081
09.02 Trademarks	195	205	250
09.09 Reimbursable program	1,863	1,933	2,331
10.00 Total new obligations	1,863	1,933	2,331
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	72	118	90
22.00 New budget authority (gross)	1,878	1,895	2,330
22.10 Resources available from recoveries of prior year obligations	31	10	10
23.90 Total budgetary resources available for obligation	1,981	2,023	2,430
23.95 Total new obligations	-1,863	-1,933	-2,331
24.40 Unobligated balance carried forward, end of year	118	90	99
New budget authority (gross), detail:			
Discretionary:			
Spending authority from offsetting collections:			
58.00 Offsetting collections (cash)	1,880	2,011	2,330
58.45 Portion precluded from obligation (limitation on obligations)		-116	
58.61 Transferred to other accounts	-2		
58.90 Spending authority from offsetting collections (total discretionary)	1,878	1,895	2,330
Change in obligated balances:			
72.40 Obligated balance, start of year	485	333	557
73.10 Total new obligations	1,863	1,933	2,331
73.20 Total outlays (gross)	-1,984	-1,699	-2,098
73.45 Recoveries of prior year obligations	-31	-10	-10
74.40 Obligated balance, end of year	333	557	780
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,501	1,421	1,748
86.93 Outlays from discretionary balances	483	278	350
87.00 Total outlays (gross)	1,984	1,699	2,098
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-7	-7	-7
88.40 Non-Federal sources	-1,873	-2,004	-2,323
88.90 Total, offsetting collections (cash)	-1,880	-2,011	-2,330

Net budget authority and outlays:			
89.00 Budget authority	-2	-116	
90.00 Outlays	104	-312	-232
Memorandum (non-add) entries:			
94.01 Unavailable balance, start of year: Offsetting collections	529	529	645
94.02 Unavailable balance, end of year: Offsetting collections	529	645	945

The United States Patent and Trademark Office (USPTO) issues patents and registers trademarks, which provide protection to inventors and businesses for their inventions and corporate and product identifications. USPTO also advises other U.S. government agencies on intellectual property issues and promotes stronger intellectual property protections in other countries. USPTO is funded through fees that are paid to obtain and renew patents and trademarks. The 2011 Budget requests a program level of \$2.3 billion for USPTO and full access for the agency to its fee collections. Legislation restructuring and increasing patent fees was enacted for 2005 and 2006 and subsequently extended through 2010. The Budget requests an extension of these provisions in 2011, along with a surcharge on Patent fees to provide additional resources to decrease the current backlog of patent applications and increase the efficiency of the USPTO processes.

Patent program.—Requested increases in funding for 2011 will be used to hire additional patent examiners, continue improvements to USPTO's information technology infrastructure, and improve intellectual property protections worldwide.

Trademark program.—The 2011 Budget supports improvements to information technology to enhance trademark application processes.

Object Classification (in millions of dollars)

Identification code 13-1006-0-1-376	2009 actual	2010 est.	2011 est.
99.0 Reimbursable obligations	1,863	1,933	2,331
99.9 Total new obligations	1,863	1,933	2,331

Employment Summary

Identification code 13-1005-0-1-376	2009 actual	2010 est.	2011 est.
Reimbursable:			
2001 Civilian full-time equivalent employment	9,594	9,614	10,098

NATIONAL TECHNICAL INFORMATION SERVICE

Federal Funds

NTIS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 13-4295-0-3-376	2009 actual	2010 est.	2011 est.
Obligations by program activity:			
09.01 Reimbursable program	32	43	43
10.00 Total new obligations	32	43	43
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	4	4
22.00 New budget authority (gross)	29	43	43
23.90 Total budgetary resources available for obligation	36	47	47
23.95 Total new obligations	-32	-43	-43
24.40 Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:			
Discretionary:			
Spending authority from offsetting collections:			
58.00 Offsetting collections (cash)	25	43	43

NTIS REVOLVING FUND—Continued
Program and Financing—Continued

Identification code 13-4295-0-3-376	2009 actual	2010 est.	2011 est.
58.10 Change in uncollected customer payments from Federal sources (unexpired)	4		
58.90 Spending authority from offsetting collections (total discretionary)	29	43	43
Change in obligated balances:			
72.40 Obligated balance, start of year	17	19	16
73.10 Total new obligations	32	43	43
73.20 Total outlays (gross)	-26	-46	-43
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-4		
74.40 Obligated balance, end of year	19	16	16
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	26	24	24
86.93 Outlays from discretionary balances		22	19
87.00 Total outlays (gross)	26	46	43
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-14	-24	-24
88.40 Non-Federal sources	-11	-19	-19
88.90 Total, offsetting collections (cash)	-25	-43	-43
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-4		
Not budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	1	3	

The National Technical Information Service (NTIS) collects and disseminates government scientific, technical, and business-related information. NTIS operates this revolving fund for the payment of all expenses incurred in performing these activities.

Performance measures.—A detailed presentation of its performance outcome, related measures, and targets is found in the Department's 2011 budget.

Balance Sheet (in millions of dollars)

Identification code 13-4295-0-3-376	2008 actual	2009 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	25	24
1206 Non-Federal assets: Receivables, net	1	1
1901 Other federal assets: Other assets	7	9
1999 Total assets	33	34
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable	6	8
2105 Other	5	5
Non-Federal liabilities:		
2201 Accounts payable	1	2
2207 Other	7	6
2999 Total liabilities	19	21
NET POSITION		
3300 Cumulative results of operations	14	13
4999 Total liabilities and net position	33	34

Object Classification (in millions of dollars)

Identification code 13-4295-0-3-376	2009 actual	2010 est.	2011 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	10	12	12
12.1 Civilian personnel benefits	3	4	4
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	1	1	1
23.2 Rental payments to others	1	2	2
23.3 Communications, utilities, and miscellaneous charges	1	2	2

24.0 Printing and reproduction	4	4	4
25.2 Other services	9	9	9
25.3 Other purchases of goods and services from Government accounts	1	2	2
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials		3	3
31.0 Equipment	1	2	2
99.0 Reimbursable obligations	32	43	43
99.9 Total new obligations	32	43	43

Employment Summary

Identification code 13-4295-0-3-376	2009 actual	2010 est.	2011 est.
Reimbursable:			
2001 Civilian full-time equivalent employment	119	150	150

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY

Federal Funds

SCIENTIFIC AND TECHNICAL RESEARCH AND SERVICES

For necessary expenses of the National Institute of Standards and Technology, [\$515,000,000] \$584,500,000, to remain available until expended, of which not to exceed \$9,000,000 may be transferred to the "Working Capital Fund": *Provided*, That not to exceed [\$10,000] \$5,000 shall be for official reception and representation expenses[: *Provided further*, That within the amounts appropriated, \$10,500,000 shall be used for the projects, and in the amounts, specified in the explanatory statement accompanying this Act]. (15 U.S.C. 272, 273, 278b-j; p, 290b-f, 1454(d), 1454(e), 1511, 1512, 3711; Department of Commerce Appropriations Act, 2010.)

Program and Financing (in millions of dollars)

Identification code 13-0500-0-1-376	2009 actual	2010 est.	2011 est.
Obligations by program activity:			
00.01 National measurement and standards laboratories	501	683	558
01.01 Baldrige national quality program	9	10	10
02.01 Corporate services	24	19	17
09.01 Reimbursable program	2	10	
10.00 Total new obligations	536	722	585

Budgetary resources available for obligation:			
21.40 Budgetary resources available for obligation	7	203	
22.00 New budget authority (gross)	728	518	584
22.10 Resources available from recoveries of prior year obligations	4	1	1
23.90 Total budgetary resources available for obligation	739	722	585
23.95 Total new obligations	-536	-722	-585
24.40 Unobligated balance carried forward, end of year	203		

New budget authority (gross), detail:			
Discretionary:			
40.00 New budget authority (gross), detail	692	515	584
41.00 Transferred to other accounts	-2	-2	-3
42.00 Transferred from other accounts	26	5	3
43.00 Appropriation (total discretionary)	716	518	584
Spending authority from offsetting collections:			
58.00 Offsetting collections (cash) - Electricity Delivery and Energy Reliability	10		
58.10 Change in uncollected customer payments from Federal sources (unexpired)	2		
58.90 Spending authority from offsetting collections (total discretionary)	12		
70.00 Total new budget authority (gross)	728	518	584

Change in obligated balances:			
72.40 Change in obligated balances	119	180	294
73.10 Total new obligations	536	722	585
73.20 Total outlays (gross)	-469	-607	-706
73.45 Recoveries of prior year obligations	-4	-1	-1